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08-02-01

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PTO/SB/05 (4/98)

UTILITY PATENT APPLICATION TRANSMITTAL

Use for new non-provisional applications under 37 C.F.R. § 1.53(B)

Attorney Docket No. A48.12-0014
First Inventor or Application Identifier Steven K. Case
Title OPTICAL DEVICE
Express Mail Label No. EL844345333US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

Address To: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form e.g., PTO/SB17) (Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Sheets 19]
(preferred arrangement set forth below)
- Descriptive title of the invention)
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 1]
4. Oath or Declaration [Total Sheets 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed)

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document
10. ☐ Information Disclosure Statement (IDS/PTO - PTO) ☐ Copies of IDS
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ *Small Entity ☐ Statement filed in prior application Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Request and Cert Under 35 USC 122 (Non-Pub)
16. ☒ Other: Charge Authorization-PTO Form 2038 for \$413.00

* NOTE FOR ITEMS 1 & 12: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEE, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.29).

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment
- ☐ Continuation ☐ Divisional ☐ Continuation-in part (CIP) of prior application No. _____

Prior application information
Group/Art Unit _____
FOR CONTINUATION or DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

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Name (Print/Type) Judson K. Champlin Registration No. (Attorney/Agent) 34,797
Signature [Signature] Date 8/1/01

FEE TRANSMITTAL

Complete if Known

Application No.
Filing Date
First Named Inventor
Title
Group Art Unit
Examiner Name

Herewith
Steven K. Case
OPTICAL DEVICE

Total Amount of Payment \$ 413

Atty. Docket Number A48.12-0014

METHOD OF PAYMENT (Check One)

1 ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123.
Westman, Champlin & Kelly, P.A.

2 ☒ Charge Authorization-PTO Form 2038 for \$413.00

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Code	Fee Description
710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
320	160		<input type="checkbox"/> Design Filing Fee
710	355		<input type="checkbox"/> Reissue Filing Fee
150	75		<input type="checkbox"/> Prov. Filing Fee

Subtotal (1) \$ 355

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	22	20	2	9	18
Indep	4	3	1	40	40

Multiple Dependent Claims

** Insert 3 and 20 or number previously paid if greater. Reissue see below

Large Entity Fee	Small Entity Fee	Code	Fee Description
18	203	9	Claims in excess of 20
80	202	40	Independent claims in excess of 3
270	204	135	Multiple Dependent Claims
80	209	40	Reissue Independent Claims over Original Patent
18	210	9	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 58

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
105	205	65 Surcharge - Late filing fee or oath	
127	227	25 Surcharge - Late provisional Filing Fee or cover sheet	
139	139	130 Non-English specification	
147	2,520	147 2,520 For Filing a Request for Reexamination	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	280 945 Extension for reply within fifth month	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
148	110	248 55 Terminal Disclaimer Fee	
140	110	240 55 Petition to Revive - unavoidable	
141	1,240	241 620 Petition to Revive - unintentional	
142	1,270	242 650 Utility/Reissue issue fee (inc. advance copies)	
143	470	243 250 Design issue fee (inc. advance copies)	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	180	126 180 Submission of Information Disclosure Statement	
581	40	581 40 Recording each patent assignment per property (times number of properties)	

Other Fee (specify) _____

Subtotal (3) \$

Signature Judson K Champlin

Date 8/11/01

Reg. No. 34,797

Deposit Account No. 23-1123